

APR 23 2007

Application No.: 10/509,354

Docket No.: BTW-087US

AMENDMENTS TO THE CLAIMS

1. (original) A modulator device formed of a semiconductor material which utilises the electro-optic effect to achieve a change in the refractive index of the material ( $\Delta n$ ) under the influence of an applied field,  $F$ , in accordance with the equation:

$$\Delta n = -\frac{1}{2} n_0^3 [rF + sF^2] = \Delta n_L + \Delta n_Q$$

where  $n_0$  is the refractive index of the material at zero field, and  $\Delta n_L$  and  $\Delta n_Q$  are the linear and quadratic contributions to the change in refractive index respectively,  $r$  is the linear electro-optic coefficient of the material and  $s$  is the quadratic electro-optic coefficient of the material incorporating a plurality of quantum dots and operating in a wavelength region where the value of  $rF$  is sufficiently greater than the value of  $sF^2$  so as to operate with the dominant effect on  $\Delta n$  being contributed by the linear effect.

2. (original) A device as claimed in claim 1 in which the band-gap wavelength  $\lambda_g$  of the quantum dots is shorter than the wavelength of the light modulated by the modulator.

3. (original) A device as claimed in claim 2 in which the band-gap wavelength  $\lambda_g$  of the quantum dots is typically 100 nm shorter than the wavelength of the light modulated by the modulator.

4. (currently amended) A device as claimed in claim 1 further comprising An integrated optical device including a path carrying an incoming optical signal of a wavelength  $\lambda$ , means for directing at least part of the signal via a modulation region, and a path for an optical signal;

the modulation region being formed of a semiconducting material incorporating a plurality of quantum dots and exhibiting an electro-optic response thereby to permit variation of the refractive index of at least part of the modulation region;

the wherein a band-gap of the semiconducting material incorporating the quantum dots being such that the corresponding wavelength  $\lambda_g$  is less than  $\lambda$ .

5. (original) An integrated optical device according to claim 4 in which  $\lambda_g$  is less than 1400nm.

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6. (original) An integrated optical device according to claim 4 in which  $\lambda_g$  is less than 90% of  $\lambda$ .

7. (original) An integrated optical device according to claim 4 in which the difference between  $\lambda_g$  and  $\lambda$  is greater than 100nm.

8. (currently amended) A device as claimed in claim 1 further comprising An integrated optical device including a path carrying an incoming optical signal of a range of wavelengths between  $\lambda_1$  and  $\lambda_2$ , means for directing at least part of the signal via a modulation region, and a path for an optical signal;

the modulation region being formed of a semiconducting material incorporating a plurality of quantum dots and exhibiting an electro-optic response thereby to permit variation of the refractive index of at least part of the modulation region;

the wherein a band-gap of the semiconducting material incorporating the quantum dots being is such that the corresponding wavelength  $\lambda_g$  is less than both  $\lambda_1$  and  $\lambda_2$  by an amount sufficient that the change in refractive index at  $\lambda_1$  and  $\lambda_2$  is substantially the same.

9. (original) A device according to claim 8 in which the difference in refractive index at  $\lambda_1$  and  $\lambda_2$  is less than 0.1% per nanometer.

10. (previously presented) A device according to claim 8 in which the difference between  $\lambda_1$  and  $\lambda_2$  is greater than 1nm.

11. (previously presented) A device as claimed in claim 1 in which the modulator or modulation region is a Mach-Zehnder Interferometer for modulating a beam of laser light, the modulator including a pair of separate waveguides through which the laser light is passed after splitting in a splitting zone and after which the light is recombined in a merge zone, there being provided opposed pairs of electrodes electrically located so as to be able to effect optical

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changes within the material of the waveguides, the waveguides being formed of the semiconductor material.

12. (original) A device as claimed in claim 11 in which the Mach-Zehnder Interferometer is a push-pull modulator.

13. (previously presented) A device as claimed in claim 1 in which the semiconductor material is a III-V semiconductor material.

14. (original) A device as claimed in claim 13 in which the III-V semiconductor material is based on a system selected from the group GaAs, InAs based materials and InP based materials.

15. (previously presented) A device as claimed in claim 1 in which the quantum dots are self-assembled quantum dots.

16. (previously presented) A device as claimed in claim 1 in which the quantum dots are formed of InAs based material in host GaAs based semiconductor material.

17. (previously presented) A device as claimed in claim 1 in which the quantum dots are formed of InGaAs based material in host GaAs based semiconductor material.

18. (previously presented) A device as claimed in claim 1 in which the quantum dots are formed of InAs based material in host  $In_xGa_{1-x}As_yP_{1-y}$  based semiconductor material.

19. (previously presented) A device as claimed in claim 1 in which the quantum dots are formed of InGaAs based material in host  $In_xGa_{1-x}As_yP_{1-y}$  based semiconductor material.

20. (previously presented) A device as claimed in claim 1 in which the quantum dots are formed by a chemical etching process.